

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under
37 C.F.R. 1.53(b))

Attorney Docket No.	2767.2001-003
First Named Inventor or Application Identifier	Matthew B. Wall
Express Mail Label No.	EV 010679565 US

Title of
Invention

Method and Apparatus for Providing Access Control for a Decentralized or Emergent Model
on a Computer Network

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 31]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to microfiche Appendix
 - Background of the Invention
 - Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 13]

☐ Formal ☒ Informal
☒ Fig. of the Drawings for Publication [8b]
4. ☒ Oath or Declaration/POA [Total Pages 3]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 18 completed)
[NOTE Box 5 below]
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior
application, see 37 C.F.R. 1.63(d)(2)
and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b, is
considered as being part of the disclosure of the accompanying
application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
[] Pages
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents)
☒ Assignee - Oculus Technologies Corporation
Boston, Massachusetts
9. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application,
status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request (check parent application)
17. ☐ Other: _____

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

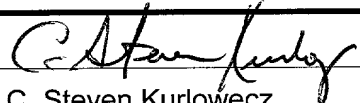
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner:

Group Art Unit:

19. CORRESPONDENCE ADDRESS

NAME	Mary Lou Wakimura, Esq.				
	HAMILTON, BROOK, SMITH & REYNOLDS, P.C.				
ADDRESS	530 Virginia Rd., P.O. Box 9133				
CITY	Concord	STATE	MA	ZIP CODE	01742-9133
COUNTRY	USA	TELEPHONE	(978) 341-0036	FAX	(978) 341-0136

Signature		Date	10/22/01
Submitted by Typed or Printed Name	C. Steven Kurlowecz	Reg. Number	46,846

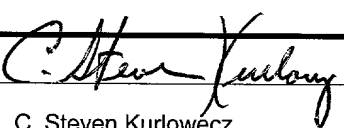
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

FEE TRANSMITTAL FOR PATENT APPLICATIONS	Attorney Docket Number	2767.2001-003		
	Application Number			
	First Named Inventor	Matthew B. Wall		

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c) or (j)) INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i)) MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) BASIC FEE (37 CFR 1.16(a) or (h)) Total of above Calculations = Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) = TOTAL = Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) = Petition for Extension of Time Fee (37 C.F.R. 1.17) = Assignment Recordation Fee = (only when filed with application) * Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent		20 - 20* =		x \$ 18 =	\$ 0
		2 - 3** =		x \$ 84 =	\$ 0
				+ \$ 280 =	\$
					\$ 740
				Total of above Calculations =	\$ 740
				Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =	\$
				TOTAL =	\$ 740
				Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =	\$
				Petition for Extension of Time Fee (37 C.F.R. 1.17) =	\$
				Assignment Recordation Fee = (only when filed with application)	\$
				TOTAL =	\$ 740

1. Small entity status:
 - a. ☐ A small entity statement is enclosed.
 - b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
 - c. ☐ Is no longer claimed.
2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.
3. ☒ A check is enclosed for \$740.00. ☐ Please charge \$[] to Deposit Account No. 08-0380.
4. ☐ Other: _____

Signature		Date	10/22/01
Submitted by Typed or Printed Name	C. Steven Kurlowecz	Reg. Number	46,846